

REQUIRED FORM

**CONSTRUCTION CONTRACTOR'S CERTIFICATION OF RECORD OF  
PREVIOUS PARTICPATION IN FEDERAL, STATE OR LOCAL GOVERNMENT  
FUNDED CONTRACTS**

I, \_\_\_\_\_, as, \_\_\_\_\_ of the firm of \_\_\_\_\_ (the "Firm") do hereby certify that for the period beginning ten (10) years prior to the date of this certification, the Firm, its principals, officers, partners, and current employees:

- Have not been suspended, debarred or otherwise restricted by a Department or Agency of the Federal Government, State Government, Local Governmental or other governmental entity from doing business with such Department, Agency or entity; and
- Have not been suspended, debarred or otherwise restricted by a Department or Agency of the Federal Government, State Government, Local Governmental or other governmental entity from doing business with such Department, Agency or entity under another firm, name, business name, alias, fictitious name, or any other entity; and
- Have no unresolved findings raised as a result of U.S. Department of Housing and Urban Development (HUD) audits, management reviews or other Governmental investigations concerning the Firm; and
- There has not been a suspension or termination of payments under any HUD contract in which the Firm had a legal or beneficial interest attributable to Firm fault or negligence; and
- Have not defaulted on any obligation covered by a surety or performance bond; and
- Are not participants in any Federal Government, State Government, Local Government or other governmental entity projects this date on which construction has stopped for a period in excess of 20 days, or which has been substantially completed for more than 90 days and documents for closing, including final cost certification, have not been filed with appropriate entity; and
- Have not, within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property; and

REQUIRED FORM

- Are not presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in statement above; and
- As representative of the Firm, all the names of the parties known to me to be principals in this project in which Firm proposes to participate are listed on the attached Form, Schedule A; and, no principals or identities of interest are concealed or omitted; and
- Are not members of Congress, or otherwise prohibited or limited by law from contracting with the Government of the United States of America.

“Principals,” for the purposes of this certification, means officers, directors, owners, partners; and, persons having primary management of supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a subsidiary, division, or business segment; and similar positions).

**This certification concerns a matter within the jurisdiction of an agency of the United States and the making of a false, fictitious or fraudulent certification may render the maker subject to prosecution under Section 1001, Title 18, United States Code.**

Signature: \_\_\_\_\_

Name: \_\_\_\_\_ (TYPED OR PRINTED)

Title: \_\_\_\_\_

Date: \_\_\_\_\_

Subscribed and sworn before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
STATE OF

\_\_\_\_\_  
NOTARY PUBLIC

My commission expires \_\_\_\_\_, 20\_\_\_\_\_.

Personally Known  OR

Produced Identification  \_\_\_\_\_  
(TYPE OF IDENTIFICATION)